

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: Rima Kaddurah-Daouk

Serial No.: 09/327,199

Filed: June 7, 1999

For: USE OF MOLECULES THAT MODULATE  
AN ENERGY RELATED ASSOCIATED STATE

Attorney Docket No.: AVZ-016

Group Art Unit: 1614

Examiner: Not Assigned

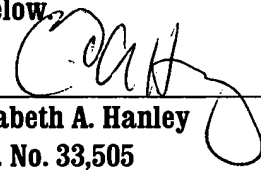
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Certificate of First Class Mailing**

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Date of Signature and of Mail Deposit

By:

  
Elizabeth A. Hanley  
Reg. No. 33,505  
Attorney for Applicant

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated July 14, 1999, Applicant's attorney submits herewith the executed Declaration, Petition and Power of Attorney for Patent Application for the above-identified patent application. A check in the amount of \$472.00 is enclosed to cover the total amount owed by Applicant including

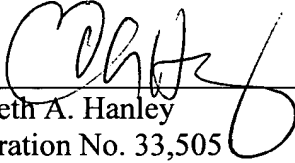
\$65.00 surcharge, \$380.00 basic filing fee, and \$27.00 additional claim fee based on small entity status. A Verified Statement Claiming Small Entity Status is being filed concurrently herewith. A copy of Form PTO-1533 is also enclosed.



The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

  
Elizabeth A. Hanley  
Registration No. 33,505  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: September 7, 1999

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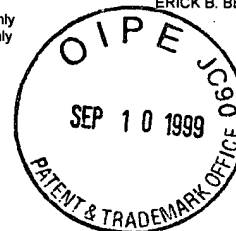
\* Admitted in NY only  
\*\* Admitted in MI only

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September 7, 1999

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/327,199  
Entitled: USE OF MOLECULES THAT MODULATE AN ENERGY  
RELATED ASSOCIATED STATE  
Filed: June 7, 1999  
Inventor: Rima Kaddurah-Daouk  
Our Ref: AVZ-016

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application (in duplicate);
2. One Executed Declaration, Petition and Power of Attorney;
3. One Verified Statement Claiming Small Entity Status;
4. Copy of Form PTO-1533;
5. Check for \$472.00 (filing fee, surcharge and claim fee); and
6. A return receipt postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

September 7, 1999

Date

Elizabeth A. Hanley, Reg. No. 33,505

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Elizabeth A. Hanley  
Reg. No. 33,505



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

43

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/327,199	06/07/99	KADDURAY-DAURK	R AVZ-016

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON MA 02109

0242/0714



NOT ASSIGNED

1614

DATE MAILED:

07/14/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 944.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 54 for 18 total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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02 C:205  
03 C:207  
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